

Exhibit 9

To: Paul J. Manafort [REDACTED]
From: steve@thefederalsavingsbank.com
Sent: Thur 10/13/2016 3:51:05 PM (UTC)
Subject: Fwd: Roman James
001_CSC Documents.pdf
[ATT00001.htm](#)
[rsltrpt1476206688.pdf](#)
[ATT00002.htm](#)
[rsltrpt1476206465.pdf](#)
[ATT00003.htm](#)
[rsltrpt1476206549.pdf](#)
[ATT00004.htm](#)

As discussed. Read below

Steve

Stephen M. Calk
Chairman and CEO
National Bancorp Holdings
The Federal Savings Bank
[300 N. Elizabeth Street](#)
[Floor 3E](#)
[Chicago, IL 60607](#)

[REDACTED] direct
[REDACTED] main
[REDACTED] private Fax
www.TheFederalSavingsBank.com
[REDACTED]

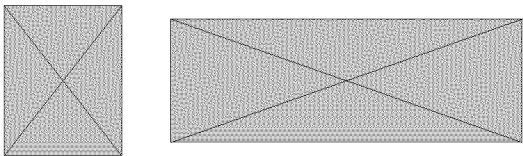
Begin forwarded message:

From: "Jim Brennan" [REDACTED]
To: "Steve Calk" [REDACTED]
Subject: Roman James

Steve,

Attached find a link to the Forbes Article discussing the 2009 Bankruptcy. I have also attached the lien and judgment searches showing he is clean since that incident.

<http://www.forbes.com/2009/11/16/henry-nicholas-roman-james-fraud-tax-evasion-business-billionaires-wealth.html>



Jim Brennan, *Vice President*,

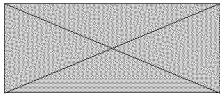
The Federal Savings Bank | 300 N Elizabeth Street, STE 3E, Chicago, IL 60607

direct: [REDACTED]

fax: [REDACTED]

email: [REDACTED]

web: www.thefederalsavingsbank.com



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217-544-5900
217-492-2727 (Fax)

Matter# NOT PROVIDED

Order# 325042-2

Project Id :

Order Date 10/10/2016

Additional Reference : NOT PROVIDED

Subject: ROMAN JAMES
Jurisdiction: CA - SECRETARY OF STATE
Request for: Local Judgment Search
Thru Date: September 29, 2016
Result: Certified clear result retrieved

Ordered by THOMAS HORN at THE FEDERAL SAVINGS BANK

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Matter# NOT PROVIDED

Order# 325042-2

Project Id :

Order Date 10/10/2016

Additional Reference : NOT PROVIDED

Subject: ROMAN JAMES
Jurisdiction: CA - SECRETARY OF STATE
Request for: Federal Tax Lien Search
Thru Date: September 29, 2016
Result: Certified clear result retrieved

Ordered by THOMAS HORN at THE FEDERAL SAVINGS BANK

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Matter# NOT PROVIDED

Order# 325042-2

Project Id :

Order Date 10/10/2016

Additional Reference : NOT PROVIDED

Subject: ROMAN JAMES
Jurisdiction: CA - SECRETARY OF STATE
Request for: UCC Debtor Search
Thru Date: September 29, 2016
Result: Certified clear result retrieved

Ordered by THOMAS HORN at THE FEDERAL SAVINGS BANK

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Matter# NOT PROVIDED

Order# 325042-2

Project Id :

Order Date 10/10/2016

Additional Reference : NOT PROVIDED

Subject: ROMAN JAMES
Jurisdiction: CA - SECRETARY OF STATE
Request for: State Tax Lien Search
Thru Date: September 29, 2016
Result: Certified results retrieved
No. of judgments included: 1

Ordered by THOMAS HORN at THE FEDERAL SAVINGS BANK

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SECRETARY OF STATE
STATE OF CALIFORNIA

Search Certificate

SEARCH REQUESTED ON:

10/11/2016

Individual Debtor: **JAMES ROMAN**

Address: **NOT SPECIFIED**

Date Range From: **NOT SPECIFIED**

Search: **UNLAPSED**

*** Indicates Filings that have been accepted after the Certification Date.**

<u>Original Filing #</u>	<u>Filing Type</u>	<u>File Date</u>	<u>File Time</u>	<u>Lapse Date</u>	<u># of Pages</u>
10-7219555499	State Tax Lien	01/11/2010	17:00	01/11/2020	1

Debtor:

Individual: JAMES R. MICHAEL

Secured Party:

Organization: BOARD OF EQUALIZATION
PO BOX 942879, SACRAMENTO CA US, 94279 0001

<u>Original Filing #</u>	<u>Filing Type</u>	<u>File Date</u>	<u>File Time</u>	<u>Lapse Date</u>	<u># of Pages</u>
12-7320061395	State Tax Lien	07/06/2012	17:00	07/06/2022	1

Debtor:

Individual: JAMES R. MICHAEL

Secured Party:

Organization: BOARD OF EQUALIZATION
PO BOX 942879, SACRAMENTO CA US, 94279 0001

<u>Amendment Filing #</u>	<u>Filing Type</u>	<u>File Date</u>	<u>File Time</u>	<u># of Pages</u>
13-73840797	Termination	10/28/2013	17:00	1

Total Pages: 3

The undersigned Filing Officer hereby certifies that the above listing is a record of all presently active financing statements, tax liens, attachment liens and judgement liens, including any change documents relating to them, which name the above debtor, subject to any above-stated search qualifiers and are on file in my office as of **09/29/2016 at 1700 hours**.

The search results herein reflect only the specific information requested. The results of this Debtor search will not reflect variances of this name. If the Debtor is known under other personal names, trade names, business entities, or addresses, separate searches of these names will have to be requested and conducted. The Secretary of State, his officers and agents disclaim any and all liability for claims resulting from other filings on which the name of the Debtor can be found in any other form than which was requested.

A handwritten signature in black ink, appearing to read "Alex Padilla", with a stylized, cursive script.

Alex Padilla
Secretary of State

RECORDING REQUESTED BY:

STATE OF CALIFORNIA
BOARD OF EQUALIZATION

AND WHEN RECORDED MAIL TO:

STATE BOARD OF EQUALIZATION
PO BOX 942879
SACRAMENTO, CALIFORNIA 94279-0055

10-7219555499

01/11/2010 17:00



SOS

FILED

CALIFORNIA
SECRETARY OF STATE

23595550009

UCC 1 FILING

NOTICE OF STATE TAX LIEN

Chapter 14 (Commencing with
section 7150 of Division 7 of
Title 1 of the Government Code)

SECRETARY OF STATE

95

Account No. [REDACTED]

Certificate No. BE- 1248578

The State Board of Equalization of the State of California, hereby certifies that the following named taxpayer(s)

R. MICHAEL JAMES (XXX-XX- [REDACTED])

whose last known address was 1148 SOQUEL AVE. SANTA CRUZ, CA 95062-2106

is (are) liable to the State of California for amounts due from and required to be paid by said taxpayer(s) and duly levied and determined under the provisions of the California Sales and Use Tax Law, Part 1, 1.5 and where applicable, Part 1.6.

PERIOD	ASSESSMENT	TAX	INTEREST	PENALTY	TOTAL
10/01/07 09/30/08	05/23/09	\$125,477.28	\$18,982.38	\$23,043.70	\$167,503.36
TOTAL		\$125,477.28	\$18,982.38	\$23,043.70	\$167,503.36

Additional interest accrues after January 31, 2010 . at the modified adjusted rate established pursuant to section 6591.5 of the Revenue and Taxation Code. Additional penalties may accrue by operation of law.

The State Board of Equalization further certifies that it has complied with all of the provisions of the above-cited law, act, or ordinance in its determination of the amounts required to be paid.

The liability above set forth is a lien upon all personal property and rights to such property, including all after-acquired property and rights to property belonging to the above-named taxpayer(s).

Dated January 07, 2010
At Sacramento, CaliforniaThe State Board of Equalization has caused this
Notice to be issued in its name by its representative
thereon duly authorized by resolution of said Board.

The agency has adopted the use of a facsimile signature as shown below:

By

G. Fong, Authorized Representative

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Matter# NOT PROVIDED**Order#** 325042-2**Project Id :****Order Date** 10/10/2016**Additional Reference :** NOT PROVIDED**Subject :** ROMAN JAMES**Jurisdiction :** CA-LOS ANGELES COUNTY**Request for :** UCC Debtor Search

Thru Date : October 01, 2016

Result : Clear**Request for :** Federal Tax Lien Search

Thru Date : October 01, 2016

Result : Clear**Request for :** State Tax Lien Search

Thru Date : October 01, 2016

Result : Clear**Request for :** Local Judgment Search

Thru Date : October 01, 2016

Result : Clear

Followup : Please note there is a misindexed Judgment against the subject name. The Judgment is for "Rowan". The clerk has been informed of this error.

Ordered by THOMAS HORN at THE FEDERAL SAVINGS BANK

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Matter# NOT PROVIDED
Project Id :
Additional Reference : NOT PROVIDED

Order# 325042-1
Order Date 10/10/2016

Subject : **ROMAN JAMES DESIGN BUILD**

Jurisdiction : **CA-SECRETARY OF STATE**

Request for : **UCC Debtor Search**
Thru Date : September 28, 2016

Result : Clear

Request for : **Federal Tax Lien Search**
Thru Date : September 28, 2016

Result : Clear

Request for : **State Tax Lien Search**
Thru Date : September 28, 2016

Result : Clear

Request for : **Local Judgment Search**
Thru Date : September 28, 2016

Result : Clear

Ordered by THOMAS HORN at THE FEDERAL SAVINGS BANK

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Matter# NOT PROVIDED**Order#** 325042-1**Project Id :****Order Date** 10/10/2016**Additional Reference :** NOT PROVIDED**Subject :** ROMAN JAMES DESIGN BUILD**Jurisdiction :** CA-LOS ANGELES COUNTY**Request for :** UCC Debtor Search

Thru Date : October 01, 2016

Result : Clear**Request for :** Federal Tax Lien Search

Thru Date : October 01, 2016

Result : Clear**Request for :** State Tax Lien Search

Thru Date : October 01, 2016

Result : Clear**Request for :** Local Judgment Search

Thru Date : October 01, 2016

Result : Clear

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